



# Why We Formed Octavia Wealth Advisors

Providing personalized guidance that puts you first

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## Why did you decide to become an independent registered advisory firm (RIA)?

We wanted to create a more effective way of helping you succeed. In certain circumstances, parent company affiliations can have a limiting effect on access to products or services. We wanted to remove any barriers to selecting the best options for you. As an employee-owned, independent registered advisory firm, we're free from possible constraints. We answer only to you, our client. And we have a fiduciary duty to act only in your best interest. We believe this is the very best way to help you achieve your plans and dreams.

## Exactly how does Octavia's new independent status benefit me?

Octavia is wide open in every way—so we can offer you more possibilities. To help you achieve your dreams of financial success, Octavia's team of experts wanted the freedom to choose the best possible options and strategies to support you today and in the future. By going independent of a parent company, we've removed the potential for conflicts of interest and can serve your needs with complete transparency.

## What does Octavia's collaborative team model look like—and why is it a better approach?

First of all, by drawing on each of our team members' specialized strengths, we are able to offer you more comprehensive wealth advisory services. At all times, you and your advisor have access to our entire team of financial specialists who provide their particular expertise as you need it.

Octavia's team of experts also works collaboratively to integrate all aspects of your financial affairs. By watching over your entire financial picture, we can help to ensure that your strategies complement each other to more fully support your goals.



**YOUR BEST INTERESTS**



**Retirement Planning Experts**

**Investment Management Experts**

**Insurance Planning Experts**

**Tax Planning Experts**

**Business Planning Experts**

**Estate Planning Experts**



### **How will this change affect my relationship with you and the services you provide?**

Every change we've made is intentionally designed to improve your outcomes. You'll have access to more advanced financial planning technologies and more effective reporting tools. This includes a client portal where you can login and access all of your different accounts. You'll also receive a consolidated account report for a complete view of your holdings. These enhancements will help us provide you with greater clarity as well as stronger support for achieving your goals.

What hasn't changed at all is our dedication to you. As part of that ongoing commitment, you'll still be served by the same team of advisors and staff that you already know and trust. And they will continue to provide you with exceptional personalized guidance.

### **Have you changed your investment philosophy?**

Absolutely not. Our investment philosophy is, and always will be, to focus on growing, protecting and preserving your assets in ways that best achieve your goals. And as independent advisors choosing freely from the widest range of financial products, we're now able to include more available investment choices. This allows us to be even more effective at selecting investments to build a portfolio that serves all of your objectives.

### **Where will my assets be held?**

At Octavia, your money will be held by a trusted third-party custodian and not by us as your advisors. Custodians won't have influence over us, but they will offer you all the security and services of a large financial institution.

### **What makes you believe that joining Octavia is the smartest choice for me?**

We believe that you deserve more, including greater access to leading-edge financial strategies of all kinds. Being able to provide more robust planning and guidance that's better tailored to your situation is very exciting to us. Everything at Octavia is centered around your needs. Our aim is to establish an even higher standard of excellence as we help you move forward, grow your wealth, and achieve the future we've been planning together.

### **Still have questions? Contact us anytime.**

As we begin this new journey together, please reach out to us with any questions or concerns about Octavia Wealth Advisors. We will be available at the same location, and our phone numbers will remain the same. For more information, please visit our website at [octaviawa.com](https://octaviawa.com).

*We look forward to continuing to serve you and your family for generations to come.*



**CINCINNATI**

**DAYTON**

**LOS ANGELES**

**MIAMI**

**SAN DIEGO**

9999 Carver Road, Suite 130  
Cincinnati, OH 45242  
513.762.7701

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**[octaviawa.com](http://octaviawa.com)**